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PATENTS

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

BRANHAM, et al.

Serial No. 09/815,261

Filed: March 22, 2001

For: WATER-DISPERSIBLE, CATIONIC  
POLYMERS, A METHOD OF MAKING  
SAME AND ITEMS USING SAME

Art Unit: 1771

Examiner: Norca Liz Torres Velazquez

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**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

The citation of information on the attached Form PTO/SB/08A, "List of Art Cited by Applicant" is made pursuant to 37 C.F.R. §§ 1.97 and 1.98. A copy of the listed items is enclosed.

**Certificate**

It is hereby certified, under Rule 97(e)(1), that each item of information contained in the accompanying Supplemental Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application

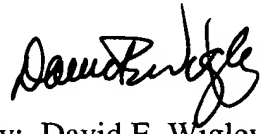
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC, 20231, on February 20, 2003.

  
David E. Wigley Reg. No. 52,362

not more than three months prior to the filing of the statement. A copy of the International Search Report is also attached. Any references listed in the International Search Report but not included in the attached PTO/SB/08A have been previously submitted.

The citation of this information does not constitute an admission that any of the materials are available as a reference or of priority, or a waiver of any right applicant may have under applicable statutes, Rules of Practice in patent cases, or otherwise.

Respectfully submitted,



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Our Docket: 44040-256044 (11302-1160)



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<b>Substitute for Form 1449/APTO</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (use as many sheets as necessary)		<b>Complete if Known</b>			
		<b>Application Number</b>	<b>09/815,261</b>		
		<b>Filing Date</b>	<b>March 22, 2001</b>		
		<b>First Named Inventor</b>	<b>Branham et al.</b>		
		<b>Group Art Unit</b>	<b>1771</b>		
		<b>Examiner Name</b>	<b>Norca Liz Torres Velazquez</b>		
<b>Sheet</b>	<b>1</b>	<b>of</b>	<b>1</b>	<b>Attorney Docket Number</b>	<b>11302-1160 (44040-256044)</b>

**U.S. PATENT DOCUMENTS**

Examiner Initials	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			

**FOREIGN PATENT DOCUMENTS**

Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>2</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
	1		JP 04 309510	✓	Sumitomo Chem Co Inc	11-02-1992		
	2		JP 63 270893	✓	Harima Chemicals Inc	11-08-1988		
	3		JP 04 046915		Harima Kasei KK	02-17-1992		
	4		JP 11 279983	✓	Arakawa Chem Ind Inc	10-12-1999		
	5		EP 0 416 427	✓	Hoechst AG	03-13-1991		
	6		EP 0 634 284	✓	Canon KK; Dynic Corp (JP)	01-18-1995		
	7		WO96/06119	✓	Stockhausen Chem Fab GMBH; Dahmen Kurt (DE)	02-29-1996		
	8		JP 52 128937	✓	Nagoya Yuagaku Kogyo KK	10-28-1977		

**OTHER INFORMATION - NON PATENT LITERATURE DOCUMENTS**

Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>

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<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent document, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language translation is attached.